



**MAIL STOP PCT**

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Docket No. 294011US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Harm WIESE, et al.

SERIAL NO: 10/587,973

GAU:

FILED: August 3, 2006

EXAMINER:

FOR: METHOD FOR IMPROVING THE STORAGE STABILITY OF COMPOSITE PARTICLE DISPERSIONS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
294011US0PCTSERIAL NO.  
10/587,973

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Harm WIESE, et al.FILING DATE  
August 3, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 387 997	05-14-02	GROLEMUND, Mary E. et al.			
	AB	2002 0132890	09-19-02	RAMESH, Swaminathan et al.			
	AC	5 279 664	01-18-94	ROBINSON, Glenn N. et al.			
	AD	3 544 500	12-01-70	DESMOND, Wilfrid John Osmond et al.			
	AE	4 421 660	12-20-83	SOLC NEE Hajna, Jitka			
	AF	4 608 401	12-26-86	MARTIN, Robert W.			
	AG	4 981 882	01-01-91	SMITH, Oliver W. et al.			
	AH	4 269 749	05-26-81	MARRIOTT, Robert C.			
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	03 000760	01-03-03	WO (with English abstract and equivalent of US 2004 0171728)		NO
	AL	0 104 498	04-04-84	EP (equivalent of US 4 771 086)		NO
	AM	0 505 230	09-23-92	EP (with English abstract)		NO
	AN	0 572 128	12-01-93	EP (equivalent of US 5 431 956)		NO
	AO	2 227 739	08-08-90	GB		NO
	AP	01 18081	03-15-01	WO (with English abstract and equivalent of US 6 756 437)		NO
	AQ	01 29106	04-26-01	WO (with English abstract and equivalent of US 6 833 401)		NO
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/587,973

Filed: August 3, 2006

Harm WIESE, et al.

Docket No. 294011US0PCT



#### STATEMENT OF RELEVANCY

- 1) References AA - AC and AK have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AD - AH and AL - AQ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.